UNITED STATES DISTRICT OF			
DAVID A. JOFFE,		X :	
,	Plaintiff,	:	Civil Action No.: 17-cv-3392 (VEC)(SDA)
against		:	
KING & SPALDING LLP,		:	
	Defendant.	: : X	

PROPOSED UPDATED JOINT PRETRIAL ORDER

Pursuant to Rule 26(a)(3) of the Federal Rules of Civil Procedure, this Court's Individual Practice 8.A, and this Court's Orders dated October 23, 2020 (Doc. No. 253), January 14, 2021 (Doc. No. 260) and February 18, 2021 (Doc. No. 264), Plaintiff David A. Joffe and Defendant King & Spalding LLP ("K&S" or "the Firm") submit the following updated proposed joint pretrial order.

I. FULL CAPTION OF THE ACTION

David A. Joffe v. King and Spalding LLP, Civil Action No. 17-cv-3392 (VEC)(SDA).

II. TRIAL COUNSEL

Plaintiff:	Defendant:

David A. Joffe, Esq.¹ 155 Christopher Columbus Drive Jersey City, NJ 07302 (516) 695-7086 davidajoffe@gmail.com

Pinchos Goldberg, Esq.
PROSKAUER ROSE LLP
Eleven Times Square
New York, NY 10036
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Joseph Baumgarten, Esq.

¹ Plaintiff David A. Joffe is proceeding *pro se*.

III. BASIS OF SUBJECT MATTER JURISDICTION

The Court has original jurisdiction over this action pursuant to 28 U.S.C. § 1331 and 29 U.S.C. § 1132(e) because Plaintiff has asserted a claim under Section 510 of ERISA, 29 U.S.C. § 1140. This Court has supplemental jurisdiction over Plaintiff's state law claim pursuant to 29 U.S.C. § 1367.

IV. CLAIMS AND DEFENSES TO BE TRIED

A. Plaintiff's Claims:

Plaintiff brings a claim for breach of contract under <u>Wieder v. Skala</u> on the basis of King & Spalding's actions in removing Plaintiff from the Firm's partnership track and/or subsequently terminating Plaintiff in an unusually harsh manner without the customary notice to seek new employment elsewhere while Plaintiff was still employed. Plaintiff contends that King & Spalding took these actions in retaliation for Plaintiff's efforts to report, within the Firm, ethical concerns regarding how King & Spalding's representation of the Chinese telecommunications firm ZTE Corporation ("ZTE") was handled.

Plaintiff also brings a claim for interference with benefits under ERISA. Plaintiff contends that the Firm deliberately timed the date of Plaintiff's firing in order to claw back certain unvested contributions it had previously made to Plaintiff's 401(k) account.

B. Defendant's Defenses:

K&S denies that it terminated Plaintiff's employment to evade a standard profit-sharing contribution to his 401k account or to impede or discourage him from complying with his professional obligations. Rather, K&S terminated Plaintiff's employment after it concluded that he was ill-equipped for long-term success at the Firm based on his lack of engagement in his own career development and repeated failure to comply with Firm policies and procedures.

Further, even if Joffe establishes that his termination was unlawful, he is not entitled to damages: (i) because he failed to make reasonable and diligent efforts to mitigate his alleged damages; and (ii) because the damages he seeks, which are based on the supposed derailment of his hypothetical ability to obtain a different position as a partner or counsel at some other firm, are not attributable to any conduct by K&S and are highly speculative.

V. LENGTH OF TRIAL; JURY/NON-JURY TRIAL

Plaintiff anticipates eight days of trial will be necessary. Defendant anticipates five days of trial will be necessary.

Plaintiff's *Wieder* claim will be tried to a jury. Plaintiff's ERISA claim will be decided by the Court after the jury returns a verdict on the *Wieder* claim. *See* Doc. No. 259 (striking Plaintiff's request for a jury trial on his ERISA claim).

VI. MAGISTRATE CONSENT

The parties do not consent to trial by a magistrate judge.

VII. STIPULATIONS

See Section XII below regarding stipulated joint exhibits.

VIII. PLAINTIFF'S STATEMENT OF DAMAGES

With respect to his <u>Wieder</u> claim, Plaintiff seeks breach-of-contract damages for loss of past, present, and future earnings because of Defendant's adverse employment actions.

With respect to his ERISA claim, Plaintiff seeks damages for the benefits he would have received under the terms of Plaintiff's 401(k) account but for Defendant's interference.

IX. UNANIMOUS VERDICT

The parties do not consent to less than a unanimous verdict.

X. TRIAL WITNESSES²

A. Plaintiff's Witnesses

Plaintiff may call the following witnesses to testify in Plaintiff case in chief. In addition, Plaintiff reserves the right to call any impeachment or rebuttal witnesses not listed below, and reserves the right to call custodian(s) of records, if necessary, with respect to exhibits. Plaintiff also reserves the right to supplement or amend this list in light of the Court's rulings on motions *in limine* and other evidentiary rulings.

1. David A. Joffe (in person)

David A. Joffe is the Plaintiff. Plaintiff may testify about the terms and conditions of his employment agreement with Defendant; Plaintiff's work performance; K&S's involvement in the ZTE Matter; Plaintiff's goodfaith, reasonable belief; Plaintiff's reporting efforts; the Firm's reason(s) for its adverse employment actions; and Plaintiff's past, present, and prospective future earnings and employment opportunities.

2. David Tetrick

Mr. Tetrick is a K&S Partner in Atlanta, GA. Mr. Tetrick may testify about K&S and industry policies, customs, and practices; Plaintiff's work performance; and the Firm's reason(s) for its adverse employment actions.

3. M. Robert Thornton

Mr. Thornton is a K&S Partner in Atlanta, GA, and the Firm's General Counsel. Mr. Thornton may testify about K&S and industry policies, customs, and practices; K&S's involvement in the ZTE Matter; and the Firm's reason(s) for its adverse employment actions.

4. Christopher W. Jackson

Mr. Jackson is the Firm's Chief Human Resources Officer in Atlanta, GA. Mr. Jackson may testify about K&S and industry policies, customs, and practices; and the Firm's reason(s) for its adverse employment actions.

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² Whether witnesses other than Plaintiff will testify in person or remotely will depend on their unique circumstances at the time of trial, including their personal health situation and their ability to get vaccinated, which are uncertain as of the date of this filing. The parties will update the Court closer to the trial date regarding which witnesses will testify in person or remotely. The parties are separately submitting a proposed protocol for remote testimony together with this proposed Order.

5. Lisa S. Keyes

Ms. Keyes is a K&S Partner in Atlanta, GA. Ms. Keyes may testify about K&S and industry policies, customs, and practices; Plaintiff's work performance; and the Firm's reason(s) for its adverse employment actions.

6. Robert F. Perry

Mr. Perry is a former K&S Partner in New York, NY. Mr. Perry may testify about K&S and industry policies, customs, and practices; Plaintiff's work performance; and K&S's involvement in the ZTE Matter.

7. Paul A. Straus

Mr. Straus is a K&S Partner in New York, NY. Mr. Straus may testify about K&S and industry policies, customs, and practices; Plaintiff's work performance; and K&S's involvement in the ZTE Matter.

8. Richard T. Marooney

Mr. Marooney is a K&S Partner in New York, NY. Mr. Marooney may testify about K&S and industry policies, customs, and practices; Plaintiff's work performance; and the Firm's reason(s) for its adverse employment actions.

9. Wendy Waszmer

Ms. Waszmer is a former K&S Partner in New York, NY. Ms. Waszmer may testify about K&S and industry policies, customs, and practices; and the Firm's reason(s) for its adverse employment actions.

10. Phyllis B. Sumner

Ms. Sumner is a K&S Partner in Atlanta, GA. Ms. Sumner may testify about K&S and industry policies, customs, and practices; and the Firm's reason(s) for its adverse employment actions.

11. Meredith Moss

Ms. Moss is a former K&S Partner in Washington, D.C. Ms. Moss may testify about K&S and industry policies, customs, and practices; Plaintiff's work performance; and the Firm's reason(s) for its adverse employment actions.

12. Kristin Kucsma

Ms. Kuscma is Plaintiff's damages expert. Ms. Kuscma may offer opinion testimony concerning the appraisal of Plaintiff's lost earnings under the principles of forensic economics.

Ms. Kucsma lives in the tri-state area.

13. Gordon Kamisar

Mr. Kamisar is Plaintiff's vocational expert. Mr. Kamisar may offer opinion testimony concerning Plaintiff's employment prospects but for Defendant's adverse employment actions and Plaintiff's employment prospects now that Defendant's retaliatory conduct has taken place.

Mr. Kamisar lives in Washington.

B. Defendant's Witnesses

Defendant may call the following witnesses to testify in Defendant's case in chief. In addition, Defendant reserves the right to call any impeachment or rebuttal witnesses not listed below or any witnesses identified by Plaintiff, whether called by Plaintiff or not, and reserves the right to call custodian(s) of records, if necessary, with respect to exhibits. Defendant also reserves the right to supplement or amend this list in light of the Court's rulings on motions *in limine* and other evidentiary rulings.

1. Philip R. Forlenza

Mr. Forlenza is Of Counsel with the law firm Patterson Belknap Webb & Tyler LLP ("PBW&T"). Mr. Forlenza may testify about PBW&T's representation of K&S in the action entitled *Vringo v. ZTE Corp.*, No. 14-cv-4988 (the "ZTE Case").

Mr. Forlenza lives in Upstate New York.

2. Lisa S. Keyes

Ms. Keyes is the Firm's Professional Development Partner. Ms. Keyes may testify about the Firm's professional development policies and practices, Plaintiff's removal from the partnership track, and the termination of Plaintiff's employment.

Ms. Keyes lives in Georgia.

3. Richard T. Marooney

Mr. Marooney is a K&S Partner. Mr. Marooney may testify about Plaintiff's work performance, Plaintiff's removal from the partnership track, and the termination of Plaintiff's employment.

Mr. Marooney lives in the tri-state area.

4. Meredith Moss

Ms. Moss is a former K&S Partner. Ms. Moss may testify about Plaintiff's work performance and Plaintiff's removal from the partnership track.

Ms. Moss lives in Utah.

5. Robert F. Perry

Mr. Perry is a former K&S Partner. Mr. Perry may testify about Plaintiff's work performance and K&S's representation of ZTE in the ZTE Case.

Mr. Perry lives in Virginia.

6. Paul A. Straus

Mr. Straus is a K&S Partner. Mr. Straus may testify about Plaintiff's work performance and K&S's representation of ZTE in the ZTE Case.

Mr. Straus lives in the tri-state area.

7. Phyllis B. Sumner

Ms. Sumner is a K&S Partner. Ms. Sumner may testify about Plaintiff's work performance.

Ms. Sumner lives in Georgia.

8. David Tetrick

Mr. Tetrick is a K&S Partner. Mr. Tetick may testify about the Firm's requirements and expectations of its attorneys and Plaintiff's compliance with same, Plaintiff's work performance, Plaintiff's compensation, Plaintiff's removal from the partnership track, and the termination of Plaintiff's employment.

Mr. Tetrick lives in Georgia.

9. M. Robert Thornton

Mr. Thornton is a K&S Partner and the Firm's General Counsel. Mr. Thornton may testify about his involvement with the ZTE Case.

Mr. Thornton lives in Georgia.

10. Christopher W. Jackson

Mr. Jackson is the Firm's Chief Human Resources Officer. Mr. Jackson may testify about the Firm's human resources policies and practices, and the termination of Plaintiff's employment.

Mr. Jackson lives in Georgia.

11. David Fine

Mr. Fine is a former K&S Partner. Mr. Fine may testify about Plaintiff's work performance, Plaintiff's removal from the partnership track, and the termination of Plaintiff's employment.

Mr. Fine lives in the tri-state area.

12. Wendy Waszmer

Ms. Waszmer is a former K&S Partner. Ms. Waszmer may testify about Plaintiff's work performance, Plaintiff's removal from the partnership track, and the termination of Plaintiff's employment.

Ms. Waszmer lives in the tri-state area.

13. Thomas Hubbard

Professor Hubbard is Defendant's damages expert. Professor Hubbard may offer testimony to rebut the testimony of Plaintiff's damages expert, Kristin Kucsma.

Professor Hubbard lives in Illinois.

14. Carolyn Sweeney

Ms. Sweeney is Defendant's vocational expert. Ms. Sweeney may offer testimony to rebut the testimony of Plaintiff's vocational expert, Gordon Kamisar.

Ms. Sweeney lives in the tri-state area.

XI. REQUESTS TO CHARGE; PROPOSED VOIR DIRE QUESTIONS; PROPOSED VERDICT SHEET

The Court is respectfully referred to the attached appendixes to this proposed Order.

Exhibit A: Joint Proposed Voir Dire Questions

Exhibit B: Plaintiff's Proposed Jury Instructions

Exhibit C: Plaintiff's Proposed Verdict Form(s)

Exhibit D: Defendant's Proposed Jury Instructions

Exhibit E: Defendant's Proposed Verdict Form

XII. EXHIBITS

A. Joint Exhibits

The parties have stipulated to the admissibility of the following exhibits:

Ex.	<u>Description</u>
J-1	Criteria for Equity Partners, Partners and Counsel (K&S_0000108-09)
J-2	Human Resources Manual (DJ019-83)
J-3	11/9/11 employment offer letter (K&S_0000856-59)
J-4	11/3/14 memorandum (K&S_0003131-41)
J-5	1/15/15 email (K&S_0001693-94)
J-6	July 2014 – June 2015 Multiple Year Time Analysis (K&S_0003201)
J-7	2015 Lawyer Evaluation (K&S_0000386-89)
J-8	2015 Self-Evaluation (K&S_0000171-73)
J-9	12/15/15 email thread (K&S_0002414)
J-10	12/16/15 email thread (K&S_0002416)
J-11	12/23/15 memorandum (K&S_0000007)
J-12	4/12/16 memorandum (K&S_0000008)
J-13	6/30/16 email (K&S_0003274-75)
J-14	4/15/16 – 7/25/16 email thread (DJ002-07)
J-15	2016 Lawyer Evaluation (K&S_0000395-98)
J-16	4/15/16 – 9/23/16 email thread (K&S_0000508-17)

J-17	9/21/16 – 10/5/16 email thread (K&S_0000538-40)
J-18	10/14/16 notes (K&S_0002559)
J-19	10/14/16 email (K&S_0002554)
J-20	10/14/16 – 11/8/16 email thread (K&S_0002564-65)
J-21	11/9/16 email thread (K&S_0000693-94)
J-22	10/20/16 – 11/18/16 email thread (K&S_0002588-89)
J-23	12/7/16 notes (K&S_0002607-10)
J-24	12/7/16 draft separation agreement (K&S_0000701-07)
J-25	Resume (DJ415)
J-26	2/15/17 – 3/2/17 email thread (DJ138-40)
J-27	2/1/17 – 3/14/17 notes (DJ119)
J-28	11/13/17 agreement (DJ802-03)
J-29	4/17/18 addendum to agreement (DJ801)
J-30	4/26/18 – 6/15/18 email thread (DJ845-47)
J-31	10/25/18 letter (DJ872-75)

B. Plaintiff's Exhibits

Ex.	<u>Description</u>	Objection	Response
P-1	King & Spalding policy entitled "Claims, Situations That Might Lead to Claims, and Subpoenas/Discovery Directed to the Firm and its Lawyers" (undated) (K&S_0003544)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to Plaintiff's reporting efforts; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions. Any risk of unfair prejudice or jury confusion outweighed by probative value.
P-2	New York City Bar Association's Professional Ethics Committee's Formal Opinion 82-79 (1982)	FRE 402 – irrelevant	Relevant to Plaintiff's reporting efforts and goodfaith, reasonable belief.
P-3	Hishon v. King & Spalding, 467 US 69 (1984)	FRE 402 – irrelevant; FRE 403 – unfair	Relevant to industry custom; foreseeability;

		prejudice and jury confusion; FRE 802 – hearsay	proximate cause; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. Falls within hearsay exception for statements in periodicals called to attention of expert, see Fed. R. Evid. 803(18); and within residual exception as "supported by sufficient guarantees of trustworthiness and more probative on the point than any other evidence that the proponent can obtain," see Fed. R. Evid. 807.
P-4	Luis Garicano & Thomas N. Hubbard, <u>Hierarchical</u> sorting and learning costs: Theory and evidence from the law, 58 J. Econ. Behavior & Org. 349-69 (2005)	FRE 402 – irrelevant	Relevant to amount of damages; foreseeability; and damages expert testimony.
P-5	Debra Cassens Weiss, King & Spalding Tops List of Partner Promotion Percentages, ABA Journal (February 12, 2008)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay	Relevant to amount of damages; foreseeability; K&S custom and practice; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert. See Fed. R. Evid. 803(18).
P-6	New York Rules of Professional Conduct	FRE 402 – irrelevant; FRE 403 – unfair	Document setting forth RPCs as they were available

	(effective April 1, 2009; with Commentary as amended through March 28, 2015	prejudice and jury confusion	at the time in question is relevant to Plaintiff's goodfaith, reasonable belief. Any risk of unfair prejudice or jury confusion outweighed by probative value.
P-7	King & Spalding Associate Salary Scale (2012) (K&S_0000346)	[N/A]	
P-8	Multiple Year Time Analysis (June 14, 2012) (K&S_0000928-29)	[N/A]	
P-9	AEC Self-Evaluation (Aug. 1, 2012) (K&S_0000357)	[N/A]	
P-10	AEC Summary Report – 2012 (Aug. 14, 2012) (K&S_0000354-56)	[N/A]	
P-11	Paul Sullivan, The Price Whistle-Blowers Pay for Secrets, New York Times, September 21, 2012	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay	Relevant to amount of damages; foreseeability; proximate cause; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert. See Fed. R. Evid. 803(18).
P-12	Memorandum from K&S Human Resources (Dec. 21, 2012) (K&S_0000004)	[N/A]	
P-13	King & Spalding Associate Salary Scale (2013)	[N/A]	

	(K&S_0000347)	
P-14	Memorandum from King & Spalding (Mar. 22, 2013) (K&S_0000001)	[N/A]
P-15	Multiple Year Time Analysis (through June 12, 2013) (K&S_0000035-39)	[N/A]
P-16	AEC Summary Report (Oct. 7, 2013) (K&S_0000363-67)	[N/A]
P-17	AEC PGL Evaluation (Oct. 7, 2013) (K&S_0000362)	[N/A]
P-18	AEC Self-Evaluation (Oct. 7, 2013) (K&S_0000368)	[N/A]
P-19	Memorandum from K&S Human Resources (Dec. 20, 2013) (K&S_0000005)	[N/A]
P-20	King & Spalding Associate Salary Scale (2014) (K&S_0000348)	[N/A]
P-21	Memorandum from King & Spalding (Mar. 25, 2014) (K&S_0000002)	[N/A]
P-22	Multiple Year Time Analysis (through June 10, 2014) (K&S_0000054-58)	[N/A]
P-23	Conference Transcript, <u>Vringo v. ZTE</u> , No. 15-cv- 986 (S.D.N.Y.) (July 7, 2014) (K&S_0002658-87)	[N/A]
P-24	Declaration of Zhao Wang and Exhibits A-C thereto (July 17, 2014) (K&S_0003016-27)	[N/A]

P-25	Hearing Transcript, <u>Vringo</u> <u>v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (July 24, 2014) (K&S_0002718-70)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because hearing transcript reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-26	Letter from P. Straus, <u>Vringo</u> <u>v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Aug. 4, 2014) (Doc. No. 58-3 at 2-3)	[<i>N/A</i>]	
P-27	Letter from P. Straus, <u>Vringo</u> <u>v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Aug. 5, 2014) K&S_0002930-31)	[<i>N/A</i>]	
P-28	Memo Endorsed Order, <u>Vringo v. ZTE</u> , No. 15-cv- 986 (S.D.N.Y.) (Aug. 6, 2014) (K&S_0002688-89)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because Memo Endorsed Order reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-29	Letter from P. Straus, <u>Vringo</u> <u>v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Aug. 7, 2014) (K&S_0003039-40)	[N/A]	
P-30	Declaration of Patricia D. Cappuyns (Aug. 7, 2014) (K&S_0003035-38)	[N/A]	
P-31	First Amended Complaint, Vringo v. ZTE, No. 15-cv-	FRE 403 – unfair prejudice, jury	Any risk of unfair prejudice or confusion outweighed by

	986 (S.D.N.Y.) (Aug. 13, 2014) (Doc. No. 58-4)	confusion and cumulative; FRE 802 – hearsay	probative value with respect to good faith, reasonable belief. Not cumulative because First Amended Complaint reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-32	Lawyer Report (Aug. 26, 2014) (K&S_0000375-77)	[N/A]	
P-33	PGL Evaluation (Aug. 26, 2014) (K&S_0000374)	[N/A]	
P-34	Self-Evaluation (Aug. 26, 2014) (K&S_0000378)	[N/A]	
P-35	Reply Memorandum of Law in Further Support of ZTE's Motion for Judgment on the Pleadings, <u>Vringo v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Dec. 1, 2014) (K&S_0002780-93)	[N/A]	
P-36	Memorandum from K&S Human Resources (Dec. 23, 2014) (K&S_0000006)	[N/A]	
P-37	King & Spalding Associate Salary Scale (2015) (K&S_0000349)	[N/A]	
P-38	Email from K. Geercken to P. Straus, copying R. Perry, D. Joffe, K. Geercken, and A. Wessels-Yen, and attachment thereto (Jan. 13, 2015) (K&S _0001690-91)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because K. Geercken email reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter

			asserted.
P-39	Email from P. Straus to K. Geercken, copying R. Perry, D. Joffe, and A. Wessels- Yen (Jan. 14, 2015) (K&S_0001692)	[N/A]	
P-40	Letter from K. Geercken (Jan. 15, 2015) (K&S_0002932-33)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because K. Geercken letter reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-41	Email from K. Geercken to P. Straus, copying R. Perry, D. Joffe, K. Geercken, and A. Wessels-Yen, and attachment thereto (Jan. 21, 2015) (K&S_0001751-52)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because K. Geercken email reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-42	Email from D. Joffe to K. Geercken, copying A. Wessels-Yen, R. Perry, and P. Straus, Vringo v. ZTE, No. 15-cv-986 (S.D.N.Y.) (Jan. 23, 2015) (K&S_0001753)	[N/A]	
P-43	Email from K. Geercken to P. Straus, copying R. Perry, D. Joffe, K. Geercken, and A. Wessels-Yen, and attachment thereto (Feb. 2,	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 –	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative

	2015) (K&S_0001754-56)	hearsay	because K. Geercken email reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-44	Declaration of Hu Xin, filed in <u>Vringo v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Feb. 6, 2015) (K&S _0001930-32)	[N/A]	
P-45	Motion to Compel Evidence of Breach of Contract and TRO Violation, Vringo v. ZTE, No. 15-cv-986 (S.D.N.Y.) (Mar. 23, 2015) (K&S_0002938-42)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because Motion to Compel Evidence of Breach of Contract and TRO Violation reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-46	Memorandum of Law of Defendants ZTE Corporation and ZTE (USA) Inc. in Opposition to Plaintiff's Motion to Compel [REDACTED] and Exhibits 1-3 thereto, Vringo v. ZTE, No. 15-cv-986 (S.D.N.Y.) (Mar. 25, 2015) (Doc. No. 58-5 at 2-23)	[N/A]	
P-47	Memorandum from King & Spalding (Mar. 31, 2015) (K&S_0000003)	[N/A]	
P-48	Hearing Transcript, <u>Vringo</u> <u>v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Apr. 7, 2015) (K&S_0002794-815)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 –	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative

		hearsay	because hearing transcript reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-49	Opinion, <u>Vringo v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (May 14, 2015) (K&S_0002816-24)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because Opinion reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-50	Motion to Compel, <u>Vringo v.</u> <u>ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (June 1, 2015) (Doc. No. 58-6 at 31-36)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because Motion to Compel reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-51	Multiple Year Time Analysis (June 3, 2015) (K&S_0000110-14)	[N/A]	
P-52	Memorandum of Law in Opposition to Vringo's Motion to Compel, <u>Vringo v.</u> <u>ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (June 3, 2015) (K&S_0002862-67)	[N/A]	
P-53	Email from M. Moss to R. Marooney (K&S_0002200)	[N/A]	
P-54	Hearing Transcript, <u>Vringo</u> <u>v. ZTE</u> , No. 15-cv-986	FRE 403 – unfair prejudice, jury	Any risk of unfair prejudice or confusion outweighed by

	(S.D.N.Y.) (June 23, 2015) (K&S_0002690-2717)	confusion and cumulative; FRE 802 – hearsay	probative value with respect to good faith, reasonable belief. Not cumulative because hearing transcript reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-55	King & Spalding LLP Profit Sharing—401(k) Plan, Summary Plan Description (July 2015) (K&S_0000401- 19)	[N/A]	
P-56	Order, <u>Vringo v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (July 24, 2015) (Doc. No. 56-2 at 2-3)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because Order reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-57	Letter from K. Geercken, <u>Vringo v. ZTE</u> , No. 15-cv- 986 (S.D.N.Y.) (Aug. 5, 2015) (K&S_0002895-96)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because K. Geercken letter reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-58	Memorandum of Law in Support of ZTE's Motion to Modify the Court's July 24, 2015 Order, No. 15-cv-986 (S.D.N.Y.) (Aug. 5, 2015) (K&S_0002897-900)	[N/A]	

P-59	Declaration of Paul A. Straus in Response to <u>Sua Sponte</u> Sanctions Order to Show Cause, <u>Vringo v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Aug. 7, 2015) (K&S_00002352-54	[<i>N/A</i>]	
P-60	Declaration of Robert F. Perry in Response to <u>Sua</u> <u>Sponte</u> Sanctions Order to Show Cause, <u>Vringo v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Aug. 7, 2015) (K&S_00002349-51)	[<i>N/A</i>]	
P-61	Order, <u>Vringo v. ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (Aug. 12, 2015) (K&S_0002901-27)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any risk of unfair prejudice or confusion outweighed by probative value with respect to good faith, reasonable belief. Not cumulative because Order reflects unique facts and concerns unique trial testimony. Not offered to prove truth of the matter asserted.
P-62	Email from M. Ciatti to M. Carter, copying M. Moss (Aug. 14, 2015) (K&S_0002377-78)	FRE 802 – hearsay	Not hearsay because opposing party's statement "made by the party in an individual or representative capacity" and/or "made by the party's agent or employee on a matter within the scope of that relationship." See Fed. R. Evid. 801(d)(2).
P-63	PGL Evaluation (Aug. 21, 2015) (K&S_0000385)	[N/A]	
P-64	Ray v. Ropes & Gray LLP, 799 F.3d 99 ([Aug. 25,] 2015)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE	Relevant to industry custom; foreseeability; proximate cause; and vocational expert testimony. Any risk of unfair prejudice

		802 – hearsay	or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert, see Fed. R. Evid. 803(18); and within residual exception as "supported by sufficient guarantees of trustworthiness and more probative on the point than any other evidence that the proponent can obtain," see Fed. R. Evid. 807.
P-65	Harrison Barnes, Legal Career Suicide: Quitting a Job without Having another Lined Up, BCG Attorney Search (Sept. 1, 2015)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay	Relevant to industry custom; foreseeability; proximate cause; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert, see Fed. R. Evid. 803(18)
P-66	King & Spalding, Press Release: King & Spalding Elects 24 New Partners (Nov. 5, 2015) (Def.'s Dep. Ex. 53)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to K&S custom and practice; foreseeability; proximate cause; damages; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value.
P-67	Letter from K. Geercken,	[N/A]	

	Vringo v. ZTE, No. 15-cv- 986 (S.D.N.Y.) (Dec. 7, 2015) (Doc. No. 58-6 at 38)	
P-68	Stipulation of Dismissal With Prejudice Vringo v. ZTE, No. 15-cv-986 (S.D.N.Y.) (Dec. 18, 2015) (Doc. No. 58-6 at 40-41)	[N/A]
P-69	Email from T. Grant, blind-copying multiple recipients (Dec. 21, 2015) (K&S_0000399)	[N/A]
P-70	King & Spalding Associate Salary Scale (2016) (K&S_0000351)	[<i>N/A</i>]
P-71	Hearing Transcript, <u>Diawara</u> v. Equifax, 16-cv-2503 (S.D.N.Y. May 19, 2016) (Pl.'s Dep. Ex. 38)	[N/A]
P-72	Multiple Year Time Report (June 13, 2016) (K&S_0000134-35)	[N/A]
P-73	Email from D. Joffe to D. Tetrick (June 23, 2016) (K&S_0002486)	[N/A]
P-74	2016 Self-Evaluation [Confirmation] (July 27, 2016) (DJ010)	[N/A]
P-75	King & Spalding Associate Salary Scale (July 2016) (K&S_0000350)	[N/A]
P-76	Email from D. Joffe to M. Carter (Aug. 12, 2016) (K&S_0000537)	[N/A]
P-77	Self-Evaluation (Aug. 18, 2016) (K&S_0000390-94)	[N/A]

P-78	Email from M. Carter to L. Keyes (Aug. 26, 2016) (K&S_0003277)	[N/A]	
P-79	Email from M. Carter to D. Tetrick and attachment thereto (Sept. 19, 2016) (K&S_0002507-11)	[N/A]	
P-80	Email from L. Keyes to J. Schoolcraft (Sept. 23, 2016) (K&S_0002512)	[N/A]	
P-81	Self-Evaluation 2016 (printed Sept. 26, 2016) (K&S_0000557-63)	[N/A]	
P-82	Lawyer Evaluation 2015 (printed Oct. 6, 2016) (K&S_0000544-49)	[N/A]	
P-83	Lawyer Evaluation 2016 (printed Oct. 6, 2016) (K&S_0000550-55)	[N/A]	
P-84	Email from M. Carter to D. Tetrick, copying L. Keyes (Oct. 6, 2016) (K&S_0002545-48)	[N/A]	
P-85	Email from C. Jackson to M. Carter (Oct. 6, 2016) (K&S_0002544)	[N/A]	
P-86	Email from C. Jackson to C. Proctor and attachments thereto (Oct. 6, 2016) (K&S_0002528-43)	[N/A]	
P-87	Handwritten Notes (Oct. 14, 2016) (DJ113)	[N/A]	
P-88	King & Spalding, Press Release: King & Spalding Elects 14 New Partners Across Seven Offices (Nov.	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury	Relevant to K&S custom and practice; foreseeability; proximate cause; damages; and vocational expert

	3, 2016) (Def.'s Dep. Ex. 52)	confusion	testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value.
P-89	Email from C. Jackson to J. Schoolcraft (Nov. 8, 2016) (K&S_0000673-74)	[<i>N/A</i>]	
P-90	Email from C. Jackson to J. Schoolcraft and B. Lloyd, copying D. Tetrick (Nov. 9, 2016) (K&S_0000695)	[N/A]	
P-91	Email from C. Jackson to D. Allison (Nov. 9, 2016) (K&S_0002586)	[N/A]	
P-92	Transaction Report (Nov. 9, 2016) (K&S_0000675-92)	[N/A]	
P-93	David Lat, Associate Bonus Watch: Cravath Accounces Its 2016 Associate Bonuses!, Above the Law (Nov. 28, 2016) (Pl.'s Dep. Ex. 91)	FRE 402 – irrelevant	Relevant to industry custom and practice; terms and conditions of employment; reasonable, good-faith belief; and Defendant's proffered reason(s) for adverse employment actions.
P-94	Email from C. Jackson to D. Tetrick (Nov. 29, 2016) (K&S_0002591)	[N/A]	
P-95	Email from D. Tetrick to M. Johnston, copying C. Jackson (Dec. 1, 2016) (K&S_0002598)	No objection if details about top three emails are redacted in their entirety; otherwise: FRE 403 – unfair prejudice and jury confusion; FRE 501 – attorney/client privilege	Non-privileged, unredacted facts reflected in document relevant to industry custom and practice; terms and conditions of employment; Plaintiff's work performance; and Defendant's proffered reason(s) for adverse employment actions.

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P-96	Email from D. Tetrick to C. Jackson and J. Schoolcraft, copying M. Johnston and R. Marooney (Dec. 2, 2016) (K&S_0000697)	[<i>N/A</i>]	
P-97	Email from T. Tetrick to D. Joffe, copying W. Waszmer (Dec. 2, 2016) (K&S_0002600)	[N/A]	
P-98	Handwritten Notes (Dec. 7, 2016) (DJ114-17)	[N/A]	
P-99	Email from C. Jackson to T. Grant (Dec. 8, 2016) (K&S_0002611)	FRE 402 – irrelevant	Relevant to Defendant's intent to interfere with pension benefits.
P-100	Email from C. Jackson to J. Schoolcraft (Dec. 12, 2016) (K&S_0000718-19)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-101	Email from M. McAlister to W. Waszmer, copying J. Schoolcraft (Dec. 12, 2016) (K&S_0000720)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-102	Email from J. Schoolcraft to C. Jackson and attachment thereto (Dec. 20, 2016) (K&S_0000738-47)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-103	Email from J. Schoolcraft to C. Jackson and attachment thereto (Dec. 21, 2016) (K&S_0000762-75)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.

P-104	Email from M. McAlister to W. Waszmer and J. McCullough, copying J. Schoolcraft and J. Murphy (Dec. 22, 2016) (K&S_0000776-79)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-105	Email from J. Ben-Asher to D. Joffe (Jan. 31, 2017) (DJ127-28)	[<i>N/A</i>]	
P-106	Email from J. Schneider to D. Joffe (Jan. 31, 2017) (DJ129)	[<i>N/A</i>]	
P-107	Email from J. Ben-Asher to D. Joffe (Feb. 27, 2017) (DJ130-31)	[N/A]	
P-108	Email from J. Schneider to D. Joffe (Mar. 13, 2017) (DJ135-37)	[N/A]	
P-109	Email from J. Schneider to D. Joffe (Mar. 28, 2017) (DJ180-85)	[N/A]	
P-110	Notice of Motion to Withdraw David Joffe as Counsel for Defendant Equifax Information Services LLC and declaration attached thereto, Whyte v. Equifax Information Servs. LLC, 16-v-667 (E.D.N.Y) (Apr. 4, 2017) (DJ642-46)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-111	Notice of Motion to Withdraw David Joffe As Counsel for Defendant Equifax Information Services LLC and declaration attached thereto, <u>Jordan v.</u> Am. Express Co., No. 16-cv- 7733 (S.D.N.Y.) (Apr. 4,	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.

	2017) (DJ545-48)		
P-112	Notice of Motion to Withdraw David Joffe As Counsel for Defendant Equifax Inc. and declaration attached thereto, Kilpakis v. JPMorgan Chase Fin. Co. LLC, 16-cv-2690 (E.D.N.Y.) (Apr. 25, 2017) (DJ574-79)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-113	Casey Sullivan, Ex-Big Law Associate Claims His Ethical Concerns About Partners Got Him Fired, Bloomberg Law (May 10, 2017)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to amount of damages; foreseeability; proximate cause; industry custom and practice; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value.
P-114	Jason Le Miere, How Much Does the FBI Director Make? Christopher Wray Would Have to Take Big Salary Cut if Confirmed, Newsweek (July 12, 2017)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay	Relevant to amount of damages; and damages expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert. See Fed. R. Evid. 803(18).
P-115	Docket Sheet, <u>Vringo v.</u> <u>ZTE</u> , No. 15-cv-986 (S.D.N.Y.) (as of July 26, 2017) (DJ256-302)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to good faith, reasonable belief. Any risk of unfair prejudice or confusion outweighed by probative value.
P-116	Docket Sheet, <u>Taffe v. Wells</u> <u>Fargo Bank</u> , 15-cv-949 (E.D.N.Y.) (as of Aug. 5,	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and

	2017) (Pl.'s Depo. Ex. 35)		Defendant's proffered reason(s) for adverse employment actions.
P-117	Docket Sheet, Thurm v. Equifax Information Servs. LLC, 16-cv-4094 (E.D.N.Y.) (as of Aug. 5, 2017) (Pl.'s Depo. Ex. 36)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-118	2016 Self-Evaluation (printed Aug. 30, 2017) (K&S_0000164-70)	[N/A]	
P-119	PACER Transactions Report [E.D.N.Y.] (as of Aug. 30, 2017) (DJ538-39]	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-120	PACER Transactions Report [S.D.N.Y.] (as of Aug. 30, 2017) (DJ596-97]	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-121	Docket Sheet, Whyte v. Equifax Information Servs., 16-v-667 (E.D.N.Y) (as of Aug. 30, 2017) (DJ647-53)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-122	Docket Sheet, <u>Jordan v. Am.</u> <u>Express Co.</u> , No. 16-cv-7733 (S.D.N.Y.) (as of Aug. 30, 2017) (DJ549-60)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-123	Multiple Year Time Analysis (Aug. 31, 2017)	[N/A]	

	(K&S_0003033-34)		
P-124	Declaration of Lisa S. Keyes (Sept. 20, 2017) (Doc. No. 27-1)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-125	King & Spalding privilege log (Oct. 18, 2017) (Doc. No. 99-3)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 501 – attorney/client privilege	Relevant to Plaintiff's reporting efforts; Plaintiff's good-faith, reasonable belief; Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions, Any risk of unfair prejudice or confusion outweighed by probative value. Assertions in privilege log "inform the jury of the fact that counsel was consulted on the subject matter underlying this litigation—a fact that in and of itself is not privileged." Aristocrat Leisure Ltd. v. Deutsche Bank Trust Co. Ams., 04 Civ. 10014 (PKL), 2009 U.S. Dist. LEXIS 89183, at *46 (S.D.N.Y. Sept. 28, 2009).
P-126	King & Spalding, Press Release: King & Spalding Elects 17 New Partners and Promotes 12 Counsel Across Seven Offices (Nov. 30, 2017) (Def.'s Dep. Ex. 51)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to K&S custom and practice; foreseeability; proximate cause; damages; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value.
P-127	Letter from Kaplan, Inc.	[N/A]	

	(Dec. 8, 2017) (DJ804-05)		
P-128	Special Counsel, 2018 Salary Guide for Legal Professionals (Doc. No. 155- 11_	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay; FRE 901 – lacks authentication	Relevant to amount of damages; industry custom and practice; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert. See Fed. R. Evid. 803(18). Will be authenticated by experts as facts or data relied upon in forming opinion. See Fed. R. Evid. 703.
P-129	Supplemental Declaration of David Tetrick (Doc. No. 66) (Feb. 15, 2018)	[N/A]	
P-130	Debra Cassens Weiss, \$11M a year for a lateral hire? Star partners are valued 'like Hollywood celebrities', ABA Journal (Apr. 30, 2018)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay	Relevant to amount of damages; and damages expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert. See Fed. R. Evid. 803(18).
P-131	The American Lawyer, <u>The Am Law 100: A Special Report</u> (May 2018)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 –	Relevant to amount of damages; industry custom and practice; and vocational expert testimony. Any risk

		lacks foundation; FRE 802 – hearsay; FRE 901 – lacks authentication	of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert. See Fed. R. Evid. 803(18). Will be authenticated by expert witnesses as facts or data relied upon in forming opinion. See Fed. R. Evid. 703.
P-132	Kaplan, Inc. pay slips (through May 25, 2018) (DJ815)	[N/A]	
P-133	The American Lawyer, The Am Law 200: An Exclusive Report (June 2018)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay; FRE 901 – lacks authentication	Relevant to amount of damages; industry custom and practice; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert. See Fed. R. Evid. 803(18). Will be authenticated by expert witnesses as facts or data relied upon in forming opinion. See Fed. R. Evid. 703.
P-134	Email from J. Schneider to D. Joffe (June 18, 2018) (DJ859-71)	[N/A]	

P-135	Expert Report of Gordon Kamisar (Oct. 10, 2018)	FRE 702 – improper expert opinion; FRE 802 – hearsay	Opinion set forth in report admitted together with rebuttal based on same report. Not offered to prove truth of matter asserted; to extent offered to prove truth of matter asserted, "is consistent with the declarant's testimony" and may be offered "to rebut an express or implied charge that the declarant acted from a recent improper influence or motive [and/or] to rehabilitate the declarant's credibility," see Fed. R. Evid. 801(d)(1)(B).
P-136	Massachusetts Bar, Look Up An Attorney (Nov. 14, 2018) (Pl.'s Dep. Ex. 64)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to vocational expert testimony, weight of vocational expert opinion, and vocational expert credibility.
P-137	New York State Bar, Attorney Detail (Nov. 14, 2018) (Pl.'s Dep. Ex. 65)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to vocational expert testimony, weight of vocational expert opinion, and vocational expert credibility.
P-138	A Revised Appraisal of Economic Loss to David A. Joffe (Dec. 6, 2019)	FRE 702 – improper expert opinion; FRE 802 – hearsay	Opinion set forth in report admitted together with rebuttal based on same report. Not offered to prove truth of matter asserted; to extent offered to prove truth of matter asserted, "is consistent with the declarant's testimony" and may be offered "to rebut an express or implied charge that the declarant acted from a recent improper influence or motive [and/or] to rehabilitate the

			declarant's credibility," see Fed. R. Evid. 801(d)(1)(B).
P-139	Defendant's Memorandum of Law in Support of Their Partial Motion to Dismiss, Cardwell v. Davis Polk & Wardwell LLP, 19-cv-10256 (S.D.N.Y.) (Feb. 10, 2020)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay	Relevant to industry custom; foreseeability; proximate cause; and vocational expert testimony. Any risk of unfair prejudice or jury confusion outweighed by probative value. "[FRE 602] does not apply to a witness's expert testimony." See Fed. R. Civ. P. 602. Falls within hearsay exception for statements in periodicals called to attention of expert, see Fed. R. Evid. 803(18); and within residual exception as "supported by sufficient guarantees of trustworthiness and more probative on the point than any other evidence that the proponent can obtain," see Fed. R. Evid. 807.
P-140	The Corporate and Criminal Fraud Accountability Act of 2002, S. Rep. No. 107-146 (May 6, 2002)	FRE 402 – irrelevant	Relevant to industry custom; foreseeability; proximate cause; and vocational expert testimony.
P-141	PLACEHOLDER		
P-142	Docket, IUE-CWA, Indus. Div. of the Commc'ns Workers of Am. v. General Motors Co., Adv. Proc. No. 15-01389 (MG) [current] (Bankr. S.D.N.Y.)	FRE 402 – irrelevant	Relevant to Plaintiff's work performance; Defendant's retaliatory motive; and Defendant's proffered reason(s) for adverse employment actions.
P-143	Excerpt from Defendant's 56.1 Reply with page containing ¶ 403 thereto, Joffe v. K&S, (Doc. No. 64	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to Defendant's interference with pension benefits under ERISA claim. Any risk of unfair

	at 124) (Feb. 15, 2018)		prejudice or jury confusion outweighed by probative value.
P-144	Rebuttal Expert Report of Carlynn Magliano Sweeney (Doc. No. 144-4) (Nov. 9. 2018)	FRE 802 – hearsay	Not hearsay because consists of statements opposing party "manifested that it adopted or believed to be true" and/or statements "made by a person whom [opposing] party authorized to make a statement on the subject." See Fed. R. Evid. 801(d)(2)(B)-(C).
P-145	[Professor Thomas N. Hubbard's Rebuttal] Report in the Matter of David A. Joffe v. King & Spalding LLP (Doc. No. 141-1) (Nov. 9. 2018)	FRE 802 – hearsay	Not hearsay because consists of statements opposing party "manifested that it adopted or believed to be true" and/or statements "made by a person whom [opposing] party authorized to make a statement on the subject." See Fed. R. Evid. 801(d)(2)(B)-(C).
P-146	Email from M. Moss to P. Straus (Aug. 11, 2015) (K&S_0002375)	[N/A]	
P-147	PLACEHOLDER		
P-148	Email from D. Joffe to M. Moss (Jan. 3, 2016) (K&S_0002419)	[N/A]	
P-149	Email from A. Haas to D. Joffe and M. Moss (Apr. 17, 2015) (K&S_0002055)	[N/A]	
P-150	Email from D. Fine to M. Moss and D. Joffe (July 30, 2015) (K&S_0002315)	[N/A]	

P-151	PLACEHOLDER		
P-152	PLACEHOLDER		
P-153	PLACEHOLDER		
P-154	Defendant's Objections and Responses to Plaintiff's First Requests for Admission (Sept. 5, 2017)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	Relevant to Defendant's proffered reason(s) for adverse employment actions. Any risk of unfair prejudice or jury confusion outweighed by probative value.
P-155	Email from P. Straus to D. Joffe (July 30, 2015) (K&S_0002329)	[N/A]	
P-156	Email from D. Joffe (July 27, 2016) (K&S_0003246)	[N/A]	
P-157	Joffe v. King & Spalding, Defendant's Categorical Privilege Log re: K&S emails with outside counsel	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 501 – attorney/client privilege	Relevant to Plaintiff's reporting efforts and goodfaith, reasonable belief. Any risk of unfair prejudice or jury confusion outweighed by probative value. Assertions in privilege log "inform the jury of the fact that counsel was consulted on the subject matter underlying this litigation—a fact that in and of itself is not privileged." Aristocrat Leisure Ltd. v. Deutsche Bank Trust Co. Ams., 04 Civ. 10014 (PKL), 2009 U.S. Dist. LEXIS 89183, at *46 (S.D.N.Y.

			Sept. 28, 2009).	
P-158	Joffe v. King & Spalding, Defendant's Line-by-Line Privilege Log Concerning Emails; pending Plaintiff's request for leave to file motion in limine concerning same	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 501 – attorney/client privilege	Relevant to Plaintiff's reporting efforts and goodfaith, reasonable belief. Any risk of unfair prejudice or jury confusion outweighed by probative value. Assertions in privilege log "inform the jury of the fact that counsel was consulted on the subject matter underlying this litigation—a fact that in and of itself is not privileged." Aristocrat Leisure Ltd. v. Deutsche Bank Trust Co. Ams., 04 Civ. 10014 (PKL), 2009 U.S. Dist. LEXIS 89183, at *46 (S.D.N.Y. Sept. 28, 2009).	
P-159	Email from M. Carter to D. Tetrick, copying L. Keyes and attachment thereto (Aug. 3, 2016) (K&S_0002493-95)	[N/A]		
[The following exhibit is proffered only if and to the extent that Defendant's Exhibit 82 is admitted; and without waiving any objections made to Defendant's Exhibit 82:]				
P-160	Email from D. Fine to D. Tetrick and W. Waszmer (Nov. 9, 2016) (K&S_0002587)	[N/A]		
[The following exhibits are proffered only if and to the extent that the pending motion <u>in</u> <u>limine</u> concerning media coverage is denied and expert-witness opinions are permitted to be updated; and without waiving any objections on the basis of the grounds set forth in the pending motion <u>in limine</u> concerning media coverage:]				

P-161	Braden Campbell, King & Spalding Draws Rebuke In Bid To Toss Firing Suit, Law360 (May 22, 2018) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-162	Debra Cassens Weiss, Federal judge finds King & Spalding's reasons for firing associate 'incredibly hard' to believe, ABA Journal (May 23, 2018) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-163	Summary Judgment Opinion (June 8, 2018) (Doc. No. 74) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: Summary Judgment Opinion "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; Summary Judgment Opinion is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from Summary Judgment

			Opinion outweighed by marginal probative value.
P-164	Braden Campbell, King & Spalding To Face Trial In Ex-Associate's Firing Suit, Law360 (June 8, 2018) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-165	Emma Cueto, King & Spalding, Ex-Atty Spar Over Experts In Firing Suit, Law360 (Jan. 17, 2019) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-166	Vin Guerrieri, Fired King & Spalding Attorney Says High Court's On His Side, Law360 (Feb. 14, 2019) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from

			article outweighed by marginal probative value.
P-167	Andrew Strickler, King & Spalding Seeks To DQ Ex-Atty's Experts In Firing Row, Law360 (Mar. 1, 2019) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-168	Email from A. Cohen (July 22, 2019) (DJ878-81)	[N/A]	
P-169	Andrew Strickler, King & Spalding Ethics Expert 'Unhelpful' In Firing Dispute, Law360 (Sept. 25, 2019) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-170	Payslips (through Sept. 29, 2019) (DJ882-90)	[N/A]	
P-171	Andrew Strickler, Ex-King & Spalding Atty Asks To Exclude News Articles, Law360 (Jan. 13, 2020) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid.

			106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-172	Kevin Stawicki, Ex-King & Spalding Atty Says Pro Se Status Is Not A Ploy, Law360 (Jan. 21, 2020) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-173	Braden Campbell, King & Spalding Says Atty Can't Bar Bad Press In Firing Suit, Law360 (Jan. 27, 2020)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-174	Braden Campbell, Ex-King & Spalding Atty Slams Firm's Yiddish In Firing Suit, Law360 (Jan. 31, 2020) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid.

			106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-175	Emily Brill, King & Spalding Calls Atty's Bad Press Worries 'Academic', Law360 (Feb. 10, 2020) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-176	Docket Sheet, Joffe v. King & Spalding LLP, 17-cv-3392 [as of trial date] (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted: docket sheet "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; docket sheet is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from docket sheet outweighed by marginal probative value.
P-177	Augustson v. Linea Aerea Nacional-Chila S.A., 76 F.3d 658 (5th Cir. 1996)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 802 – hearsay	To the extent subject matter of former counsel's fee action is admitted: judicial opinion "in fairness ought to be considered at the same

			time," see Fed. R. Evid. 106; judicial opinion is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from judicial opinion outweighed by marginal probative value.
P-178	Robert Kolker, <u>The Gay</u> <u>Flannel Suit</u> , New York Magazine (Feb. 23, 2007)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 602 – lacks foundation; FRE 802 – hearsay	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-179	Kurt Orzeck, Vringo Wants ZTE Sanctioned For Atty's IP Row Depo Refusal, Law360 (Aug. 19, 2015)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion; FRE 802 – hearsay	To the extent subject matter of former counsel's fee action is admitted: article "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; article is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article outweighed by marginal probative value.
P-180	WITHDRAWN		

P-181	Conference Transcript, <u>Joffe</u> v. King & Spalding LLP, 17- cv-3392 (Aug. 29, 2018) (Doc. No. 96) (redacted)	[N/A]	
P-182	Order, Joffe v. King & Spalding LLP, 17-cv-3392 (Sept. 11, 2018) (Doc. No. 90) (redacted)	[N/A]	
P-183	Memo-Endorsed Order, <u>Joffe</u> v. King & Spalding LLP, 17- cv-3392 (Sept. 11, 2018) (Doc. No. 93) (redacted)	[N/A]	
P-184	Conference Transcript, <u>Joffe</u> v. King & Spalding LLP, 17- cv-3392 (Dec. 7, 2018) (Doc. No. 134) (redacted)	FRE 402 – irrelevant	Relevant to damages and reasonableness of mitigation.
P-185	Memo-Endorsed Order, Joffe v. King & Spalding LLP, 17- cv-3392 (Jan. 21, 2020) (Doc. No. 202) (redacted)	FRE 402 – irrelevant	Relevant to damages and reasonableness of mitigation.
P-186	Stipulation and Order, Joffe v. King & Spalding LLP, 17- cv-3392 (Jan. 27, 2021) (Doc. No. 262) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted, Stipulation and Order "in fairness ought to be considered at the same time," see Fed. R. Evid. 106; Stipulation and Order is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article does not substantially outweigh marginal probative value.
P-187	Hailey Konnath, Fired King & Spalding Atty Cuts Lien Deal With Former Counsel, Law360 (Jan. 27, 2021) (redacted)	FRE 402 – irrelevant; FRE 403 – unfair prejudice and jury confusion	To the extent subject matter of former counsel's fee action is admitted, article "in fairness ought to be considered at the same

			time," see Fed. R. Evid. 106; Stipulation and Order is relevant to proximate cause, damages amount, and vocational expert testimony; and any marginal risk of added prejudice or confusion from article does not substantially outweigh marginal probative value.
P-188	Associates Committee of the Business Litigation Practice Group—Charter (as of Feb.	[N/A]	
P-189	1, 2013) (K&S_0003621-26) Kelly Knaub, ZTE Atty Ordered To Appear In NY Despite Fear Of Arrest, Law360 (Aug. 12, 2015)	FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any marginal risk of added prejudice or confusion from article does not substantially outweigh marginal probative value. Not offered to prove truth of the matter asserted.
P-190	Email from D. Fine to P. Straus and D. Joffe (Aug. 13, 2015) (K&S_0003634-41)	No objection if headline and accompanying blurb about ZTE case on pages K&S_00003635-36 are redacted in their entirety; otherwise: FRE 403 – unfair prejudice, jury confusion and cumulative; FRE 802 – hearsay	Any marginal risk of added prejudice or confusion from headline and accompanying blurb does not substantially outweigh marginal probative value. Headline and accompanying blurb not offered to prove truth of the matter asserted.
P-191	Email from M. Maloney to D. Coker (Oct. 20, 2015) (K&S_0002395)	[N/A]	
P-192	Email from M. Carter to D. Tetrick (Apr. 19, 2016) (K&S_0002429-30)	[N/A]	

P-193	Summary Plan Description, King & Spalding LLP Profit Sharing—401(k) Plan (effective as of Apr. 1, 2016) (K&S_0002431-49)	[N/A]	
P-194	D. Joffe Employee File (K&S_0000440-577)	FRE 402 – irrelevant; FRE 403 – unfair prejudice, jury confusion and cumulative	Employee File relevant to Defendant's retaliatory motive and Defendant's proffered reason(s) for adverse employment actions. Any marginal risk of added prejudice or confusion from Employee File does not substantially outweigh marginal probative value.

C. Defendant's Exhibits

Ex.	<u>Description</u>	Objection	Response
D-1	New York Rules of Professional Conduct – Rule 8.3	FRE 106—incomplete; FRE 403—jury confusion and cumulative	Rule 8.3 replaced former DR 1-103(A), the only rule at issue in <i>Wieder</i> ; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-2	New York Rules of Professional Conduct – Rule 1.6	FRE 106—incomplete; FRE 403—jury confusion and cumulative	Rule 8.3 explicitly incorporates Rule 1.6; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-3	Guidelines for Recording Time Entries (K&S_0000582-86)	[N/A]	
D-4	Acknowledgment of Time-Entry Policies (K&S_0000866)	[N/A]	

D-5	Office Manual Acknowledgment (K&S_0000868)	[N/A]	
D-6	10/26/12 memorandum (K&S_0000978-85)	[N/A]	
D-7	6/10/13 – 6/11/13 email thread (K&S_00001215)	[N/A]	
D-8	6/9/14 email and attachment (K&S_0001429-34)	[N/A]	
D-9	6/25/14 email (K&S_0003079-82)	[N/A]	
D-10	6/30/14 email and attachments (K&S_0003083-3105)	FRE 403— cumulative; FRE 602—lacks foundation	Danger of cumulative evidence does not substantially outweigh probative value; supported by testimony of a witness with knowledge
D-11	7/2/14 email (K&S_0000068-82)	[N/A]	
D-12	7/11/14 email (K&S_0003106-07)	[N/A]	
D-13	7/15/14 email (K&S_0001446)	[N/A]	
D-14	7/29/14 email (K&S_0000085)	[N/A]	
D-15	7/29/14 email thread (K&S_0001475)	[N/A]	
D-16	9/22/14 email (K&S_0001493)	[N/A]	
D-17	11/4/14 email and attachment (K&S_0001536-37, 1574-75)	[N/A]	
D-18	11/19/14 email and attachment (K&S_0003545-48)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to Defendant's defense against Plaintiff's Wieder claim; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-19	12/15/14 email (K&S_0003549)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to Defendant's defense against Plaintiff's Wieder claim; danger of confusion or

			cumulative evidence does not substantially outweigh probative value
D-20	12/23/14 email thread (K&S_0001642)	[N/A]	
D-21	12/30/14 email thread (K&S_0001664)	[N/A]	
D-22	1/20/15 email thread (K&S_0001695)	[N/A]	
D-23	2/10/15 email thread (K&S_0001760)	[N/A]	
D-24	2/17/15 email thread (K&S_0001827)	[N/A]	
D-25	3/9/15 email thread (K&S_0001882)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's removal from partnership track; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-26	3/24/15 email thread (K&S_0001885)	[N/A]	
D-27	3/25/15 email thread (K&S_0001928)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's removal from partnership track; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-28	4/6/15 email thread (K&S_0001929)	FRE 403—jury confusion and cumulative	Danger of confusion or cumulative evidence does not substantially outweigh

			probative value
D-29	4/14/15 email (K&S_0001933)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's removal from partnership track; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-30	4/17/15 email with attachment (K&S_0001944-47, K&S_0002019-42)	[N/A]	
D-31	4/17/15 email thread (K&S_0002051-52)	[N/A]	
D-32	4/17/15 email thread (K&S_0002167-69)	[N/A]	
D-33	4/29/15 email (K&S_0002170)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's removal from partnership track; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-34	5/26/15 email thread (K&S_0002171)	[N/A]	
D-35	6/10/15 email (K&S_0002201)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's removal from partnership track; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-36	6/15/15 email (K&S_0000096-98)	[N/A]	

D-37	6/16/15 order (K&S_0002868-69)	[N/A]	
D-38	6/17/15 email thread (K&S_0002220-21)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's removal from partnership track; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-39	6/22/15 email thread (K&S_0002222)	[N/A]	
D-40	6/26/15 email (K&S_0003198)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's removal from partnership track; danger of confusion or cumulative evidence does not substantially outweigh probative value
D-41	7/6/15 email (K&S_0002257)	FRE 403—jury confusion and cumulative	Danger of confusion or cumulative evidence does not substantially outweigh probative value
D-42	7/13/15 email (K&S_0003199-3200)	FRE 106—incomplete	Attachments are not relevant to purpose for which document is offered
D-43	7/28/15 email (K&S_0000120)	[N/A]	
D-44	7/28/15 email thread (K&S_0002258)	[N/A]	
D-45	7/30/15 email thread (K&S_0002325-26)	FRE 106—incomplete	Document is complete
D-46	7/30/15 email thread (K&S_0002332)	[N/A]	
D-47	8/7/15 memorandum	[N/A]	

	(K&S_0002355-66)		
D-48	8/7/15 motion (K&S_0002771-72)	[N/A]	
D-49	8/16/15 email thread (K&S_0002380-81)	[N/A]	
D-50	8/18/15 email thread (K&S_0002382-83)	FRE 802—hearsay	FRE 803—offered to prove then-existing state of mind
D-51	8/28/15 email thread (K&S_0002385-86)	[N/A]	
D-52	9/4/15 email (K&S_0002388)	[N/A]	
D-53	9/17/15 email and attachment (K&S_0002389-92)	[N/A]	
D-54	9/22/15 email thread (K&S_0002393)	FRE 402—irrelevant	Relevant to Defendant's defense against Plaintiff's Wieder claim
D-55	10/23/15 email thread (K&S_0002401)	[N/A]	
D-56	11/10/15 email and attachment (K&S_0003550-53)	FRE 402—irrelevant (see Def.'s Mot. in Limine No. 4 & Pl.'s Opp'n thereto); FRE 403—jury confusion	Relevant to Defendant's defense against Plaintiff's Wieder claim; danger of confusion does not substantially outweigh probative value
D-57	11/17/15 email thread (K&S_0002404)	[N/A]	
D-58	11/24/15 email (K&S_0003554)	FRE 402—irrelevant (see Def.'s Mot. in Limine No. 4 & Pl.'s Opp'n thereto); FRE 403—jury confusion	Relevant to Defendant's defense against Plaintiff's Wieder claim; danger of confusion does not substantially outweigh probative value
D-59	12/8/15 email (K&S_0002408)	[N/A]	
D-60	5/26/16 email thread (K&S_0002473)	FRE 402—irrelevant; FRE 403—jury confusion and cumulative	Relevant to establish legitimate reasons for Plaintiff's termination; danger of

			confusion or cumulative evidence does not substantially outweigh probative value
D-61	6/16/16 email (K&S_0000121-22)	[N/A]	
D-62	6/23/16 email and attachment (K&S_0003208-25)	[N/A]	
D-63	6/28/16 email (K&S_0003244)	FRE 402—irrelevant	Relevant to establish legitimate reasons for Plaintiff's termination
D-64	7/23/15 memorandum (K&S_0002876-81)	[N/A]	
D-65	2016 Self-Evaluation (K&S_0000529-36)	[N/A]	
D-66	7/27/16 email (K&S_0003245)	FRE 106—incomplete	Document is complete
D-67	8/11/16 email (K&S_0003247)	[N/A]	
D-68	8/12/16 email thread and attachment (K&S_0003257-64)	[N/A]	
D-69	8/15/16 email thread (K&S_0002499)	[N/A]	
D-70	4/15/16 – 9/26/16 email thread (DJ102-112)	[N/A]	
D-71	9/29/16 email and attachment (K&S_0003555-58)	FRE 402—irrelevant; FRE 403—jury confusion	Relevant to Defendant's defense against Plaintiff's Wieder claim; danger of confusion does not substantially outweigh probative value
D-72	10/14/16 email (K&S_0002556)	FRE 106—incomplete; FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 802—hearsay	Document is complete; relevant to establish legitimate reasons for Plaintiff's termination; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE

			803—offered to prove then-existing state of mind
D-73	10/20/16 email (K&S_0003559)	[N/A]	
D-74	Jan. 2015 – Dec. 2016 Multiple Year Time Analysis (K&S_0003033-34)	[N/A]	
D-75	1/31/17 – 5/11/17 email thread (DJ186-92)	[N/A]	
D-76	5/29/17 email and attachments (DJ193-96)	[N/A]	
D-77	6/4/17 email and attachments (DJ203-12)	[N/A]	
D-78	6/5/17 email and attachments (DJ141-64)	[N/A]	
D-79	7/12/17 – 7/18/17 email thread (DJ304-07)	[N/A]	
D-80	8/11/17 email (DJ314)	[N/A]	
D-81	8/28/17 email (DJ437)	[N/A]	
D-82	9/15/17 email (DJ684)	[N/A]	
D-83	8/20/17 email (DJ346)	[N/A]	
D-84	8/29/17 email (DJ439)	[N/A]	
D-85	10/28/17 email (DJ768)	[N/A]	
D-86	2017 Federal Application for Automatic Extension (DJ806)	[N/A]	
D-87	1/4/18 email (DJ827-28)	[N/A]	
D-88	1/5/18 email thread (DJ829-31)	[N/A]	
D-89	4/26/18 – 5/3/18 email thread (DJ813-14)	[N/A]	
D-90	3/14/17 – 6/15/18 email thread (DJ848-55)	[N/A]	
D-91	9/20/18 – 6/15/18 email thread (DJ856-58)	[N/A]	
D-92	7/16/18 Law360 article: "Ex-King & Spalding Atty's Counsel Seeks Exit Over Unpaid Bill" (K&S_0003598-	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE	Relevant to damages; danger of unfair prejudice or confusion does not substantially

	99) (redacted)	602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-93	7/25/18 Law360 article: "Ex-King & Spalding Atty Rebukes Counsel's Reasons For Exit" (K&S_0003590-91) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice, jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-94	8/7/18 Law360 article: "Atty Says Ex-King & Spalding Lawyer Won't Settle With Firm" (K&S_0003570- 71) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-95	8/8/18 The American Lawyer article: "Attorney-Client Dispute Delays Ex- King & Spalding Associate's Suit	FRE 402—irrelevant; FRE 403—unfair prejudice and jury	Relevant to damages; danger of unfair prejudice or confusion

	Against Firm" (K&S_0003567-69)	confusion; FRE	does not substantially
	(redacted)	602—lacks	outweigh probative
		foundation; FRE	value; FRE 602 does
		701—opinion testimony by lay	not apply to expert testimony; falls within
		witnesses; FRE	scope of expert
		702—outside scope of	testimony
		expert opinion; see	
		Pl.'s Mot. in Limine	
		[Concerning Media	
		Coverage] (Doc. No.	
		196)	
D-96	8/29/18 Law360 article: "King &	FRE 402—irrelevant;	Relevant to damages;
	Spalding, Ex-Associate Pushed To	FRE 403—unfair	danger of unfair
	Settle Feud" (K&S_0003605-06)	prejudice and jury	prejudice or confusion
	(redacted)	confusion; FRE 602—lacks	does not substantially
		foundation; FRE	outweigh probative value; FRE 602 does
		701—opinion	not apply to expert
		testimony by lay	testimony; falls within
		witnesses; FRE	scope of expert
		702—outside scope of	testimony
		expert opinion; see	
		Pl.'s Mot. <u>in Limine</u>	
		[Concerning Media	
		Coverage] (Doc. No.	
		196)	
D-97	9/11/18 Law360 article: "Ex-King &	FRE 402—irrelevant;	Relevant to damages;
	Spalding Associate's Atty Let Out Of Firing Suit" (K&S_0003582-83)	FRE 403—unfair prejudice and jury	danger of unfair prejudice or confusion
	(redacted)	confusion; FRE	does not substantially
	(reducted)	602—lacks	outweigh probative
		foundation; FRE	value; FRE 602 does
		701—opinion	not apply to expert
		testimony by lay	testimony; falls within
		witnesses; FRE	scope of expert
		702—outside scope of	testimony
		expert opinion; see Pl.'s Mot. in Limine	
		[Concerning Media	
		Coverage] (Doc. No.	
		196)	
D-98	9/28/18 Law360 article: "Ex-King &	FRE 402—irrelevant;	Relevant to damages;
	Spalding Atty Aims To Block	FRE 403—unfair	danger of unfair
	1 6		6

	Lawyer's Lien Bid" (K&S_0003584-85)	prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-99	10/2/18 Opinion and Order of Magistrate Judge Aaron (Dkt. 113) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-100	10/2/18 Law360 article: "Ex-King & Spalding Atty's Counsel Have Dibs On Any Award" (K&S_0003596-97) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-101	10/16/18 Law360 article: "Ex-King	FRE 402—irrelevant;	Relevant to damages;

	& Spalding Atty Fights Lien In Termination Suit" (K&S_0003588- 89)	FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-102	11/7/18 Law360 article: "Ex-King & Spalding Atty Says No Payout For Former Counsel" (K&S_0003592-93) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196)	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-103	2018 Form 1099-MISC (DJ876)	[N/A]	
D-104	2018 Form W-2 (DJ877)	[N/A]	
D-105	9/25/19 Opinion and Order of Judge Caproni (Dkt. 184) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony; underlying merit of trial judge's opinion is irrelevant

		[Concerning Media Coverage] (Doc. No. 196); see also Care Travel Co. v. Pan Am. World Airways, 944 F.2d 983, 992 (2d Cir. 1991) ("a trial judge is not permitted to add to the facts")	
D-106	9/26/19 Law360 article: "Ex-King & Spalding Atty Can't Nix Former Counsel's Payout" (K&S_0003586-87) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No. 196); see also Care Travel Co. v. Pan Am. World Airways, 944 F.2d 983, 992 (2d Cir. 1991) ("a trial judge is not permitted to add to the facts")	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony; underlying merit of trial judge's opinion is irrelevant
D-107	10/25/19 Law360 article: "Ex-King & Spalding Atty To Appeal Order On Counsel Payout" (K&S_0003594-95) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice and jury confusion; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion; see Pl.'s Mot. in Limine [Concerning Media Coverage] (Doc. No.	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony; underlying merit of trial judge's opinion is irrelevant

		196); see also Care Travel Co. v. Pan Am. World Airways, 944 F.2d 983, 992 (2d Cir. 1991) ("a trial judge is not permitted to add to the facts")	
D-108	2019 Form W-2 (DJ891-92)	[N/A]	
D-109	Website screenshot: Harvard Law School Career Services for Alumni (K&S_0003574-81)	FRE 602—lacks foundation	FRE 602 does not apply to expert testimony
D-110	Website screenshot: Harvard Law School Job Search Resources (K&S_0003600-04)	FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion	FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-111	Website screenshot: Harvard Law School Networking and Interviews (K&S_0003607-11)	FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion	FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-112	Website screenshot: NYCBA Career Counselors Referral Program (K&S_0003572-73)	FRE 602—lacks foundation	FRE 602 does not apply to expert testimony
D-113	Website screenshot: NYSBA Solo And Small Firm Resource Center (K&S_0003612-13)	FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion	FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-114	Website screenshot: NYCBA Small Law Firm Overview (K&S_0003619- 20)	FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of	FRE 602 does not apply to expert testimony; falls within scope of expert testimony

		expert opinion	
D-115	Website screenshot: ABA Solo and Small Firm Resource Center (K&S_0003560-66)	FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion	FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-116	6/24/20 Memorandum Opinion and Order of Judge Caproni (Dkt. 243) (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice, jury confusion, and cumulative; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-117	6/24/20 Law360 article: "Ex-BigLaw Atty's In-Person Depo Bid Tossed As 'Tomfoolery'" (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice, jury confusion, and cumulative; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony
D-118	9/11/20 Summary Order of Second Circuit (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice, jury confusion, and cumulative; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony

		expert opinion	
D-119	9/11/20 Law360 article: "2nd Circ. Upholds Lien Against Fired King & Spalding Atty" (redacted)	FRE 402—irrelevant; FRE 403—unfair prejudice, jury confusion, and cumulative; FRE 602—lacks foundation; FRE 701—opinion testimony by lay witnesses; FRE 702—outside scope of expert opinion	Relevant to damages; danger of unfair prejudice or confusion does not substantially outweigh probative value; FRE 602 does not apply to expert testimony; falls within scope of expert testimony

XIII. DEPOSITION DESIGNATIONS FOR USE IN CASE-IN-CHIEF

The parties do not anticipate that they will offer deposition testimony in their respective cases in chief.

XIV. FULL TRANSCRIPTS OF DESIGNATED DEPOSITIONS

N/A.

XV. DEPOSITION TRANSCRIPTS FOR IMPEACHMENT PURPOSES

A. Plaintiff:

Full transcripts of the depositions of David Tetrick, Phyllis Sumner, Christopher Jackson, Lisa Keyes, Robert Thornton, Paul Straus, Robert Perry, Wendy Waszmer, Richard Marooney, Carlynn Magliano Sweeney, Thomas Hubbard, Meredith Moss, and David Fine, which Plaintiff intends to use for impeachment purposes, are attached hereto as Exhibits F, G, H, I, J, K, L, M, N, O, P, Q, and R, respectively.

B. Defendant:

Full transcripts of the depositions of David A. Joffe, Gordon Kamisar, and Kristin Kucsma, which Defendant intends to use for impeachment purposes, are attached hereto as Exhibits S, T, and U, respectively.

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	SO ORDERED:

Honorable Valerie Caproni, U.S.D.J.